



SAN JOAQUIN COUNCIL OF GOVERNMENTS

6 South El Dorado St., Suite 400 • Stockton, California 95202

209.468.3913 • 209.468.1084 (fax)

www.sjcog.org

Rec'd.
Nov 1, 2002

November 1, 2002

Jack Sieglock
CHAIRMAN

Gloryanna Rhodes
VICE CHAIR

Julia E. Greene
EXECUTIVE DIRECTOR

Member Agencies

CITIES OF
ESCALON,
LATHROP,
LODI,
MANTECA,
RIPON,
STOCKTON,
TRACY,
AND
THE COUNTY OF
SAN JOAQUIN

Kris Balaji
Department of Transportation
Division of Transportation Programming, MS 82
P.O. Box 942874
Sacramento, CA 94274-0001

SUBJECT: Formal Amendments #3 and #4 to the Federal Transportation Improvement Program

Dear Mr. Balaji: *KRB*

Enclosed with this letter is the documentation for formal amendments #3 and #4 to the Federal Transportation Improvement Program. State and Federal approval is required.

FTIP Amendment #3 does the following:

- Removes the amount allocated for the I-580/I-205 Truck Climbing Lane from San Joaquin County's SHOPP.
- Categorizes the following projects into the "Retrofit Soundwall Program"
 - Southbound I-5 near 8th St. at Walker Slough Bridge
 - Northbound I-5 near the Stockton Channel Viaduct to Mount Diablo

FTIP Amendment #4 does the following:

- Shifts \$336,000 in STIP ROW funds for the Kettleman Lane Gap Closure to the construction phase, resulting in a total STIP commitment to construction as \$2,629,000.



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- Advances the programming year for the six STIP projects identified in the resolution.

The required documentation is enclosed. If you have any questions regarding this amendment, please contact me at 209-468-3913.

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AND
THE COUNTY OF
SAN JOAQUIN

Sincerely,

Diane Nguyen Grindall
Senior Regional Planner

cc: Wade Hobbs, FHWA
Jerome Wiggins, FTA
Connie Medina, Caltrans District 10
Sylvia Morrow, ARB
Sam Agpawa, EPA



RESOLUTION SAN JOAQUIN COUNCIL OF GOVERNMENTS

R-03-10

RESOLUTION APPROVING AMENDMENTS #3 and #4 TO THE FEDERAL TRANSPORTATION IMPROVEMENT PROGRAM

WHEREAS, the San Joaquin Council of Governments (SJCOC) is a Regional Transportation Planning Agency and a Metropolitan Planning Organization (MPO), pursuant to State and Federal designation; and

WHEREAS, the MPO is required to develop, maintain, and endorse the 2002 Federal Transportation Improvement Program (FTIP) with a three-year program of projects for federal funding assistance; and

WHEREAS, in order to maintain a current and accurate FTIP, amendments and minor administrative adjustments are needed periodically; and

WHEREAS, the Federal Transportation Improvement Program provides a three-year list of specific projects to move forward with federal funding.

WHEREAS, in Amendment #3, Caltrans has requested the amount allocated for the I-580/I-205 Truck Climbing Lane be removed from San Joaquin County's SHOPP; and

WHEREAS, Caltrans had identified the project to be included in both San Joaquin and Alameda counties, however most of the project's parameters are in Alameda County. The amendment will reduce the amount for the Construction element within San Joaquin County's SHOPP funding for Fiscal Year 02/03 by \$10,434,000 to reflect the change. The change will therefore be reflected in Alameda County's SHOPP; and

WHEREAS, this Amendment #3 also categorizes the following projects into the "Retrofit Soundwall Program"; and

- Southbound I-5 near 8th St. at Walker Slough Bridge
- Northbound I-5 near the Stockton Channel Viaduct to Mount Diablo.

WHEREAS, Amendment #4 advances the programming year for the six STIP projects identified in the table below:

TABLE 1: PROGRAM YEAR CHANGES TO STIP PROJECTS

Project Name (Lead Agency)	PPNO #	STIP Funding Amount	Programmed Year	Revised year for FTIP
Louise Avenue Phase I (City of Lathrop)	3K43	\$846,000	FY 05/06	FY 2003/04
Stagecoach Rehabilitation (City of Stockton)	3K46A	\$623,000	FY 06/07	FY 2002/03
Kettleman Lane (Route 12) Gap Closure (City of Lodi)	06570K	\$2,629,000	FY 06/07	FY 2002/03
South Frontage Road Rehabilitation & Wilma Overcrossing (City of Ripon)	3K48	\$1,925,000	FY 06/07	FY 2002/03
So. McHenry Avenue Improvement (City of Escalon)	3K40	\$1,400,000	FY 06/07	FY 2003/04

Stockton Depot Track Improvements (Caltrans/RRC)	2031	\$4,400,000	FY 05/06	FY 2003/04
	TOTAL	\$9,376,000		

WHEREAS, amendment #4 also shifts \$336,000 in STIP ROW funds for the Kettleman Lane Gap Closure to the construction phase, resulting in a total STIP commitment to construction as \$2,629,000; and

WHEREAS, the Federal Clean Air Act Amendments require that "No department, agency, or instrumentality of the Federal Government shall engage in, support in any way or provide financial assistance for, license or permit, or approve an activity which does not conform to an implementation plan after it has been approved or promulgated under Section 110"; and

WHEREAS, Amendment 02-03 to the 2002 Federal Transportation Improvement Program promotes travel and development patterns that facilitate attainment of established air quality standards contained in the SIP; and

WHEREAS, the projects contained in the FTIP Amendments do not contradict in a negative manner any specific requirements or commitments contained in the SIP; and

WHEREAS, the emissions associated with the FTIP Amendments do not exceed any of the ROG, NOx, and CO emissions budgets contained in the SIP for the San Joaquin County air quality planning area; and

WHEREAS, the Regional Transportation Plan for 2025 includes projects and policies that provide for expeditious implementation of TCMs contained in the SIP; and

WHEREAS, these amendments are consistent with the adopted RTP; and

WHEREAS, this project does not impact the conformity finding of the FTIP and RTP;

WHEREAS, SJCOG Staff has also analyzed the financial capacity of the FTIP and determined that sufficient resources will be available to accommodate these amendments.

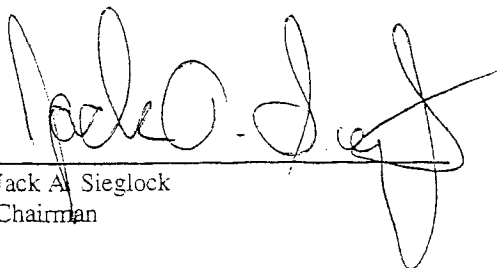
NOW, THEREFORE, BE IT RESOLVED, that SJCOG approves the Formal Amendment #3 and #4.

PASSED AND ADOPTED this 24th day of October 2002, by the following votes of the San Joaquin Council of Governments, to wit;

AYES: Mayor Bilbrey, Councilman Ennis, Councilman Giovanetti, Councilman Harris, Mayor Pennino, Mayor Podesto, Councilwoman Rhodes, Chairman Sieglock.

NOES: None

ABSENT: Supervisor Mow, Councilman Restuccia



Jack A. Sieglock
Chairman

STAFF REPORT

SUBJECT: Federal Transportation Improvement
Program Amendments

RECOMMENDED ACTION: (1) Motion to approve amendments to the federal plan.

(2) Motion to adopt resolution #R-03-10 that documents Board action on the amendments.

DISCUSSION:

Overview

The purpose of this staff report is to amend the 2002 Federal Transportation Improvement Program. The FTIP provides a three-year list of specific projects to move forward with federal funding. Any scope, cost, or schedule changes to projects must be reflected in the plan as amendments. This staff reports seeks approval of the following changes to the 2002 FTIP:

FTIP Amendment #3: Amendments to the State Highway Operation and Protection Program.

FTIP Amendment #4: Amendments to the State Transportation Improvement Program

FTIP Amendment # 3

Removal of the I-580/I-205 Truck Climbing Lane from the San Joaquin County's State Highway Operations and Protection Program.

Caltrans has requested the funding for I-580/I-205 Truck Climbing Lane to be deleted from San Joaquin County's State Highway Operations and Protection Program. Caltrans had identified the project to be included in both San Joaquin and Alameda Counties, however, most of the project's parameters are in Alameda County. Caltrans has requested this action in order to streamline administrative tracking of the project. Therefore the amount allocated for the project within San Joaquin County's SHOPP will be removed and the new value reduced accordingly to reflect the removal of the project. The SHOPP funding will be identified in Alameda County SHOPP. No funds are being taken away from the project.

Reallocation of the following projects into the “Retrofit Soundwall Program” within the FTIP.

Caltrans has also requested the following projects to be placed into the “Retrofit Soundwall Program”:

- Southbound I-5 near 8th St. at Walker Slough Bridge
- Northbound I-5 near the Stockton Channel Viaduct to Mount Diablo.

The “Retrofit Soundwall Program” is a special program which is not part of STIP or SHOPP. It is currently identified in the SHOPP. This is an administrative change that does not impact any committed dollars.

FTIP Amendment #4

The SJCOG Board approved State Transportation Improvement Program (STIP) funding for an array of projects and submitted this program to the California Transportation Commission. The California Transportation Commission then approved the projects, however, many projects were programmed in later years. This was done because of financial capacity constraints in the STIP that limited the state’s ability to program projects in the early years of the STIP. This programming action by the CTC, as a result, pushed projects back as far as 3-4 years.

As a result, SJCOG staff has been working with Caltrans and our local partners to advance the projects in the STIP through an AB 3090 agreement. An AB 3090 agreement allows a local agency to deliver the project through local funds and get paid back from the STIP in the original programming year. While individual strategies for the projects are still being ironed out, all these projects need to be re-programmed in the FTIP in the fiscal year of anticipated project delivery. The urgency is to get the projects properly identified in the TIP before FHWA and FTA “lock down” or freeze any amendments to the TIP. This is anticipated by January 1, 2003.

FTIP Amendment # 4 would make the following program year changes in the TIP.

TABLE 1: PROGRAM YEAR CHANGES TO STIP PROJECTS

Project Name (Lead Agency)	PPNO #	STIP Funding Amount	Programmed Year	Revised year for FTIP
Louise Avenue Phase I (City of Lathrop)	3K43	\$846,000	FY 05/06	FY 2003/04
Stagecoach Rehabilitation (City of Stockton)	3K46A	\$623,000	FY 06/07	FY 2002/03
Kettleman Lane (Route 12) Gap Closure (City of Lodi)*	06570K	\$2,629,000	FY 06/07	FY 2002/03
South Frontage Road Rehabilitation &	3K48	\$1,925,000	FY 06/07	FY 2002/03

Wilma Overcrossing (City of Ripon)				
So. McHenry Avenue Improvement (City of Escalon)	3K40	\$1,400,000	FY 06/07	FY 2003/04
Stockton Depot Track Improvements (Caltrans/RRC)	2031	\$4,400,000 (Note: \$3.4 million of this allocation is from Caltrans Interregional Improvement Program)	FY 05/06	FY 2003/04
	TOTAL	\$9,376,000		

*The Kettleman Lane Gap Closure also involves an administrative amendment to shift \$336,000 in STIP funding from ROW phase to construction.

The next step in this amendment is to develop financial strategies and get this proposed programming schedule approved by the CTC.

SJCOG staff Findings on FTIP Amendments

SJCOG staff has reviewed these amendments for compliance with rules related to FTIP amendments. SJCOG finds these amendments:

- Conform to the State Implementation Plan for Air Quality under the Environmental Protection Agency (EPA) Conformity Rule.
- Are consistent with the Regional Transportation Plan.
- Do not trigger any changes to the conformity analysis.
- Are financially constrained.

Recommendation: (1) Approve amendments to the federal plan.

(2) Adopt resolution that documents Board action on the amendment.

Fiscal Impact:

- The amount allocated for the I-580/I-205 Truck Climbing Lane within the State Highway Operations and Protection Program for San Joaquin County will be removed and the change will be reflected in the FTIP. This will reduce San Joaquin SHOPP Lump Sum by \$10,434,000.

- There is no fiscal impact associated with the placement of the two soundwall projects into its own separate category entitled the “Retrofit Soundwall Program.”
- There is no fiscal impact with advancing the STIP projects to an early STIP year by an FTIP amendment. However, individual programming strategies such as AB 3090 agreements or Measure K loans have direct fiscal impacts to SJCOG. When those funding strategies are developed, they will be brought to the Board for action.

Prepared by: Sarkes Khachek
Regional Planner

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CHANGES TO SHOPP IN AMENDMENT #3 : BEFORE - AFTER

SJCOG 2002 FTIP

Project Description		TRIENNIAL ELEMENT				FUNDING SOURCE			
Lead Agency AQ Code	Phase	Prior Years	FY 02-03	FY 03-04	FY 04-05	FY 05-06	Local	State	Federal
BEFORE THE FTIP AMENDMENT									
Lump sum SHOPP, Non-capacity increasing projects, ie. Safety, roadway/roadside rehabilitation, damage restoration, operations and SHOPP TEA (inc. Construction, R/W, all support costs	PE	11,914,998	450,000	507,999	13,144,999	8,684,998			
	R/W	350,000	1,442,999	2,083,998	174,999	1,534,998			
	Con	0	18,480,998	23,418,998	3,330,998	32,953,998			
	Total	12,264,998	20,373,997	26,010,995	16,650,996	43,173,994			
AFTER THE FTIP AMENDMENT									
	PE	11,914,998	450,000	507,999	3,144,999	8,684,998			
	R/W	350,000	1,442,999	2,033,998	174,999	1,534,998			
	Con	0	8,044,998	23,418,998	13,330,998	32,953,998			
	Total	12,264,998	9,937,997	26,010,995	16,650,996	43,173,994			

Route Postmile PIN State/Fed ID Fund AQ Lead	Description	Total Escalated Cost Status	Phase	Prior Years			Program Schedule (construction costs escalated per Caltrans percentage)					Change Description Project Comments
				Triennial Element	03/04	04/05	05/06	06/07	07/08			
										02/03		
SJCOG			PE	4,000	1,000							
			RW	606,000								
			Const	205,000	4,000							
			Total	815,000	5,000							
Caltrans		21200000181										
			PE	19,000	8,000							
			RW	1,000								
			Const	4,555,000	297,000							
			Total	4,555,000	305,000							
SJCOG			PE	450,000	507,999	3,144,999	8,694,998					
			RW	1,442,899	2,003,998	174,999	1,534,998					
			Const	8,044,998	22,418,995	13,330,994	32,953,998					
			Total	9,937,997	26,010,995	16,650,995	43,173,994					
Caltrans		31200000000										
			PE	11,914,998								
			RW	350,000								
			Const									
			Total	12,264,998								
Var												
			PE	450,000	507,999	3,144,999	8,694,998					
			RW	1,442,899	2,003,998	174,999	1,534,998					
			Const	8,044,998	22,418,995	13,330,994	32,953,998					
			Total	9,937,997	26,010,995	16,650,995	43,173,994					
Bridge/M (State)												
			PE	11,914,998								
			RW	350,000								
			Const									
			Total	12,264,998								
Caltrans		31200000000										
			PE	11,914,998								
			RW	350,000								
			Const									
			Total	12,264,998								
Caltrans		31200000000										
			PE	11,914,998								
			RW	350,000								
			Const									
			Total	12,264,998								
Caltrans		31200000000										
			PE	11,914,998								
			RW	350,000								
			Const									
			Total	12,264,998								
Caltrans		3120000000										

San Joaquin Council of Governments - 2002 Federal Transportation Improvement Program

Amendment Number 3.00

[illegible]

Re-categorized from STOP to Profit Soundwall

Amend. #3

SUMMARY OF CHANGES IN

FTIP Amendment #4

Project Name (Lead Agency)	PPNO #	STIP Funding Amount	Programmed Year	Revised year for FTIP
Louise Avenue Phase I (City of Lathrop)	3K43	\$846,000	FY 05/06	FY 2003/04
Stagecoach Rehabilitation (City of Stockton)	3K46A	\$623,000	FY 06/07	FY 2002/03
Kettleman Lane (Route 12) Gap Closure (City of Lodi)*	06570K	\$2,629,000	FY 06/07	FY 2002/03
South Frontage Road Rehabilitation & Wilma Overcrossing (City of Ripon)	3K48	\$1,925,000	FY 06/07	FY 2002/03
So. McHenry Avenue Improvement (City of Escalon)	3K40	\$1,400,000	FY 06/07	FY 2003/04
Stockton Depot Track Improvements (Caltrans/RRC)	2031	\$4,400,000 (Note: \$3.4 million of this allocation is from Caltrans Interregional Improvement Program)	FY 05/06	FY 2003/04
	TOTAL	\$9,376,000		

*Along with advancing the programming year for the six STIP projects identified above, FTIP Amendment #4 shifts \$336,000 in STIP ROW funds for the Kettleman Lane Gap Closure to the construction phase, resulting in a new total STIP commitment to construction of \$2,629,000.

San Joaquin Council of Governments - 2002 Federal Transportation Improvement Program

Amendment Number 3.00

State Highway Operations and Protection Program

Route Postmile PIN State/Fed ID Fund AQ Lead	Description	Phase	Prior Years	Program Schedule (construction costs escalated per Caltrans percentage)							Change Description Project Comments Funding Summary (Current & Prior Years)
				Triennial Element							
				02/03	03/04	04/05	05/06	06/07	07/08	Local	
Var 0.0 / 0.0	Lump sum SHOPP: Non-capacity increasing projects, i.e. safety, roadway/roadside rehabilitation, damage restoration, operations and SHOPP TEA (inc. Construction, RW, all support costs).	PE RW Const.	11,914,998 350,000	450,000 1,442,999 8,044,998	507,999 2,083,998 23,418,998	3,144,999 174,999 13,330,998	8,684,998 1,534,998 32,953,998			Cost/Scope/Sch. Change Version 2 - 10/09/2002 COG Board approved 10/24/02 Version 1 - 04/19/02 New SHOPP Lump Sum Project	
Bridge/NH/State/ 1.10	\$108,038,980										
Caltrans	2002 FTIP Amend. 3 312000000000	Total	12,264,998	9,937,997	26,010,995	16,660,996	43,173,994			Prior 1,939,140 Current 13,232,151 10,325,858 82,541,831	

San Joaquin Council of Governments - 2002 Federal Transportation Improvement Program

Retrofit Soundwall Program

Recent Soundwall Program														
Route		Description			Program Schedule					Change Description				
Postmile	PIN				(construction costs escalated per Caltrans percentage)					Project Comments				
State/Fed ID	Fund	Total Escalated Cost			Triennial Element					Funding Summary (Current & Prior Years)				
AQ	Lead	Status		Phase	Prior Years	02/03	03/04	04/05	05/06	06/07	07/08	Local	State	Federal
						19,000	8,000					New Project		
				PE		1,000					 Version 2 - 10/29/2002		
				RW		4,565,000	297,000					COG Board approved 10/24/02		
				Const.							 Version 1 - 05/07/2002		
SJCOG														
State		\$4,890,000										Prior		
4.06												Current		
Caltrans		2002 FTIP Amend. 3	21200000182	Total		4,585,000	305,000					4,890,000		
												New Project		
				PE		4,000	1,000				 Version 2 - 10/29/2002		
				RW		606,000						COG Board approved 10/24/02		
				Const.		205,000	4,000				 Version 1 - 05/07/2002		
SJCOG														
State		\$820,000										Prior		
4.06												Current		
Caltrans		2002 FTIP Amend. 3	21200000181	Total		815,000	5,000					820,000		

San Joaquin Council of Governments - 2002 Federal Transportation Improvement Program STIP / Regional Choice

Amendment Number 4.00

Route Postmile PIN State/Fed ID Fund AQ Lead		Description	Phase	Prior Years	Program Schedule (construction costs escalated per Caltrans percentage)						Change Description Project Comments Funding Summary (Current & Prior Years)
					Triennial Element						
					02/03	03/04	04/05	05/06	06/07	07/08	
State/STP/CITY 1.10 Escalon, City of		In Escalon - On South McHenry Ave. from Catherine Ave. to Jones Rd. - reconstruct and provide turn and bicycle lanes, and provide graded shoulders. \$1,450,000 2002 FTIP Amend. 4 11200000154	PE RW Const.		50,000 1,400,000						New Project ***** Version 1 - 10/16/02 ***** Project data transferred from 2002 STIP 1, COG Board approved 10/24/02
		Total			50,000	1,400,000					Prior Current 50,000 160,580 1,239,420
State/STP/XS/JC 0.00 Lathrop, City of		In Lathrop - On Louise Ave. from east of I-5 to 5th St - Rehabilitate existing 4-in and widen the northerly westbound lane \$965,999 2002 FTIP Amend. 4 11200000157	PE RW Const.		70,000 50,000						New Project ***** Version 1 - 10/16/02 ***** Project data transferred from 2002 STIP 1, COG Board approved 10/24/02
		Total			120,000	845,999					Prior Current 120,000 97,036 748,963
12 15.2 / 17.5 10-0G570K State/NH/XSJ 0.00 Lodi, City of		In City of Lodi - On SR-12 from Tienda Drive to Ham Lane and Stockton Street to Cherokee Lane - Widen to 4-lanes \$3,451,998 2002 FTIP Amend. 4 11200000159	PE RW Const.	473,000	55,000 186,000 2,737,998						New Project ***** Version 1 - 10/16/02 ***** Project data transferred from 2002 STIP 1, COG Board approved 10/24/02
		Total		473,000	2,978,998						Prior Current 473,000 350,000 301,546 2,327,452
State/STP/CITY 0.00 Ripon, City of		In Ripon - Between Stockton Ave & Pine Street and between Maple Ave. and Canal Blvd - Construct new roadway \$2,749,999 2002 FTIP Amend. 4 11200000163	PE RW Const.		200,000 200,000 2,349,999						New Project ***** Version 1 - 10/16/02 ***** Project data transferred from 2002 STIP 1, COG Board approved 10/24/02
		Total			2,749,999						Prior Current 825,000 220,797 1,704,202
90.9 State/State/XSJ 2.08 SJRR - San		In downtown Stockton, between Weber Ave. and Miner Ave. - restoration of abandoned Depot building \$12,573,000 2002 FTIP Amend. 4 11200000140	PE RW Const.	753,000 220,000 7,200,000		4,400,000					New Project ***** Version 1 - 10/16/02 ***** Project data transferred from 2002 STIP 1, COG Board approved 10/24/02
		Total		8,173,000		4,400,000					Prior Current 8,173,000 4,400,000
State/PI16/CITY 1.10 Stockton, City of		In Stockton - On Stagecoach Rd from Mariposa Rd to Farmington - Rehabilitate roadway \$1,449,000 2002 FTIP Amend. 4 11200000161	PE RW Const.		576,000 873,000						New Project ***** Version 1 - 10/16/02 ***** Project data transferred from 2002 STIP 1, COG Board approved 10/24/02
		Total			1,449,000						Prior Current 576,000 873,000 873,000